

Account Number



Standing Payment Instructions Nonretirement

Use this form to establish, change, or delete standing Bank Wire, Electronic Funds Transfer ("EFT"), Journal and/or Check Disbursement instructions on your brokerage account. Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information or signatures, use a copy of the relevant page.

Helpful to Know

- Do NOT use this form for **Premiere Select® IRA, Defined Contribution Retirement Plans, or Health Savings Accounts.**
- Standing Instructions that are added to your account may be purged from the system due to inactivity after an extended period of time.
- If establishing 1st Party EFT instructions, or 3rd Party EFT with a common owner(s) on the brokerage and bank account, a copy of a voided check or statement must be provided to your Broker-Dealer with this form.
- **Note:** Contact your investment representative for information on how to request a withdrawal from your account.

1. Account Owner(s)

First Name	Middle Name	Last Name
Additional Owner, Company or Trust Name <i>if applicable</i>		

Additional Account Numbers

Account Number	Account Number	Account Number
Account Number	Account Number	Account Number

2. Bank Standing Instructions

Bank Standing Instructions allow you to move money between your brokerage account and a bank account via Bank Wire (processed via the Federal Reserve System), or EFT (processed via the Automated Clearing House "ACH"). **Bank Wire:** Once Bank Wire standing instructions are established and approved, they are active immediately. Your Broker-Dealer and/or your bank may charge a fee for Bank Wire. **EFT:** EFT may take 4-5 business days to become active. Business days are Monday through Friday. Bank and New York Stock Exchange holidays are not included. **If establishing 1st Party EFT, or 3rd Party EFT with a common owner(s), a voided check or statement must be included with this form.**

continued on next page



2. Bank Standing Instructions *continued*

EFT Instructions #2

- Check one. ▶ Establish new instructions
 Change existing instructions
 Delete existing instructions

Line Number

If you have multiple instructions established and are changing or deleting an existing instruction, include the line number. Obtain the line number from your investment representative.

- Check one. ▶ **1st Party** All owners on the brokerage account are also owners on the bank account. This option can be used for both disbursements and receipts.
 3rd Party None of the owners of the brokerage account are owners on the bank account OR some of the owners on the brokerage account are on the bank account (3rd party with common owner(s)). This option can always be used for disbursements but only permits receipts if there is a common owner on the brokerage and bank account.

- Checking **OR** Savings

Obtain the correct routing number from the bank. Different routing numbers may be used for Bank Wires and EFT transactions.

Bank Routing Number	Bank Name
Bank Account Number <i>final destination</i>	Payee Name(s) Exactly as Shown on Bank Account

3. Journal Standing Instructions

Journal Standing Instructions allow you to transfer cash or securities from the brokerage account number(s) provided at the top of this form to another brokerage account ("to account") provided below.

Certain journals and transfers are not permissible from brokerage accounts to other brokerage accounts or retirement accounts.

Consult with your investment representative for further information.

- Establish Instructions *Provide the "to account" numbers that you wish to establish:*

Account Number

Account Number

Account Number

Account Number

- Delete Instructions *Provide the "to account" numbers that you wish to delete:*

Account Number

Account Number

Account Number

Account Number



5. Signatures and Dates *Form cannot be processed without signatures and dates.*

By signing below, you:

- Authorize National Financial Services LLC ("NFS") to act upon your and/or your Broker-Dealer's requests to disburse funds or transfer cash or securities from your brokerage account to the designated bank or other brokerage accounts above in accordance with the instructions given by you and/or your Broker-Dealer to NFS without first confirming those instructions with you directly.
- Understand and agree that NFS will not be liable for any loss, cost, or expense so long as NFS transmits the redemption proceeds to the bank account identified above or transfers cash or securities to another brokerage account maintained at your Broker-Dealer identified above. NFS reserves the right, but has no obligation, to confirm your Broker-Dealer's instructions with you prior to acting on such instructions.
- Further certify and agree that the above directions and authorizations in this document will continue until your Broker/Dealer and, if required, NFS receives the actual written notice of any change thereof.
- Indemnify and hold harmless your Broker-Dealer, NFS and its officers, directors, employees, agents, affiliates, shareholders, successors, assigns and representatives from and against any and all losses, claims, or financial obligations that may arise from any act or omission by you and/or your Broker-Dealer with respect to your account.
- Understand and agree that this form is your authorization and direction to your Broker-Dealer and NFS to utilize these payment instructions when acting upon your request(s) to send a check disbursement or to move money from your brokerage account to a bank or other brokerage account(s) you designate above.
- Understand and agree that NFS cannot confirm the account registration at the receiving bank or financial institution.
- Understand that these instructions may be purged from your account if the instructions have not been used for an extended period of time.

All account owners (and authorized individuals) must sign and date in accordance with the signature requirements outlined in the account's supporting documents. For certain entities, such as trusts, estates, corporations, partnerships, or other organizations, identifying documentation is also required.

Print Account Owner Name <i>First, M.I., Last</i>		Print Authorized Signatory Name <i>First, M.I., Last</i>	
Account Owner Signature	Date <i>MM - DD - YYYY</i>	Authorized Signatory Signature	Date <i>MM - DD - YYYY</i>
SIGN X		SIGN X	